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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel S. Yap, et al.

) Re: Information Disclosure

) Statement

Serial No.: 10/786,721

) Group: 1076

Filed: February 24, 2004

) Examiner: not yet assigned

)

) Our Ref: B-4664NP 621523-9

)

For: "MULTIPLE WAVELENGTH PHOTONIC  
OSCILLATOR"

) Date: January 18, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. Copies of the Foreign Patent Documents and non-patent documents listed on the enclosed Form PTO-1449 (modified) are enclosed. Copies of the U.S. patent documents listed on the enclosed Form PTO-1449 (modified) are not enclosed in accordance with 37 C.F.R. § 1.98(a) (2) (ii).

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

Information Disclosure Statement  
USSN 10/786,721  
January 18, 2006  
Page 2

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on January 18, 2006 by Guillermo Gonzalez.



Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (2 pages)  
Copy of Foreign Patent document listed on Form PTO-1449  
(modified)  
Copies of Non-Patent documents listed on Form PTO-1449 (modified)

Information Disclosure Statement  
USSN 10/786,721  
January 18, 2006  
Page 3



Form PTO-1449 (Modified) Page 1 of 2	ATTY DOCKET NO. B-4664NP 621523-9	U.S. SERIAL NO. 10/786,721
LIST OF PATENTS AND PUBLICATIONS STATEMENT		
APPLICANTS Daniel Yap, et al.		
FILING DATE February 24, 2004	GROUP 1076	

**U.S. PATENT DOCUMENTS**

	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB-CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	10/696,607		Yap			10/28/2003
	10/766,103		Ng, et al.			1/24/2004
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

Information Disclosure Statement  
 USSN 10/786,721  
 January 18, 2006  
 Page 4

Form PTO-1449 <b>(Modified)</b> Page 2 of 2	ATTY DOCKET NO. B-4664NP 621523-9	U.S. SERIAL NO. 10/786,721
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel Yap, et al.	
	FILING DATE February 24, 2004	GROUP 1076

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	07-26136	7/1995	JP			

Abstract of JP 07-264136, Patent Abstracts of Japan, Vol.1996, No. 2 (February 1996)	
Chang, K., Handbook of Microwave and Optical Components, <i>John Wiley and Sons</i> , pp. 595-626, 670-674 (1989)	
Narayan, A., et al., "High-Efficiency Waveguide Coupled $\lambda=1.3 \mu\text{m}$ $\text{In}_x\text{Ga}_{1-x}\text{As}/\text{GaAs}$ MSM Detector Exhibiting Large Extinction Ratios at L and X Band," <i>IEEE Photonic Technology Letters</i> , vol. 5, pp. 514-517 (1993)	
Oda, K., et al., "A Wide-FSR Waveguide Double-Ring Resonator for Optical FDM Transmission Systems," <i>Journal of Lightwave Technology</i> , vol. 9, No. 6, pp. 728-736 (June 1991)	
Zmuda, H., et al., "Photonic Beamformer for Phased Array Antennas Using a Fiber Grating Prism," <i>IEEE Photonics Technology Letters</i> , vol. 9, No. 2 pp. 241-243 (February 1997)	

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